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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Rick C. Stevens

Attorney file: 5801

Serial No.: 10/812,800

Examiner

Filed: 03/30/2004

Group: 2874

For: OPTICAL COUPLING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Office of Initial Patent Examination's Filing Receipt Correction, P.O. Box 1450, Alexandria, VA 22313-1450 on December 23, 2004 by applicant's attorney, Carl L. Johnson.

Carl L. Johnson

Carl L. Johnson

December 23, 2004

Date

Office of Initial Patent Examination's
Filing Receipt Correction
P.O. Box 1450
Alexandria, VA 22313-1450

**REQUEST FOR CORRECTION OF
APPLICATION STATUS**

Dear Sir/Madame:

The applicant's attorney received a filing receipt dated June 14, 2004 for the above-identified application. (A copy the aforementioned filing receipt is enclosed.) It has come to the applicant's attorney's attention that the filing receipt lists the above-identified application as claiming small entity status. The applicant, however, is a large entity.

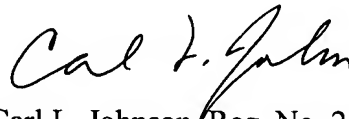
After reviewing the applicant's file, it has come to the applicant's attorney's attention that although the above-identified application was filed with a check in an amount of \$896.00, which was sufficient to cover the filing fee for a large entity, the applicant's attorney however inadvertently checked the box in the transmission letter indicating that a form claiming small entity status was accompanying the application even though no such form had accompanied the

application. (A copy of the aforementioned transmission letter and a returned postcard, which had accompanied the filing of the application, is also enclosed.) It is believed that in response to the aforementioned, the Office processed the above-identified application as claiming small entity status.

In view of the above, the applicant's attorney hereby respectfully request that the status of the above-identified application be changed from a small entity status to a large entity status. Please also readjust any underpayment or overpayment of funds and any processing fee(s) to the Applicant's Deposit Account No. 10-0210.

Respectfully submitted,
JACOBSON AND JOHNSON

By

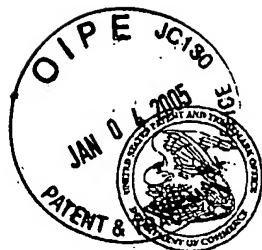


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CLJ/tj
Enclosure



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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 Alexandria, Virginia 22313-1450
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/812,800	03/30/2004	2874	428	5801EA253	3	20	4

CONFIRMATION NO. 6037

Carl L. Johnson
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 Suite 285
 One West Water Street
 St. Paul, MN 55107-2080

FILING RECEIPT



OC000000012937049

Date Mailed: 06/14/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Rick C. Stevens, Apple Valley, MN;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 06/08/2004

Projected Publication Date: 10/06/2005

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Optical coupling

Preliminary Class

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Mail Stop Patent Application
Commissioner of Patents
P.O. BOX 1450
Alexandria, VA 22313-1450



Sir:

Transmitted herewith for filing is the patent application of:
Inventor: Rick C. Stevens
For: OPTICAL COUPLING
Enclosed are:

- ☒ 3 sheets of drawing.
- ☐ An assignment of the invention to Lockheed Martin Corporation.
- ☐ A certified copy of a _____ application.
- ☐ An associate power of attorney.
- ☒ The applicant is entitled to small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- ☐ PTO 1449

The filing fee has been calculated as shown below:

BASIC FEE

TOTAL CLAIMS

INDEPENDENT CLAIMS

TOTAL

\$856.00

☐ Please charge my Deposit Account No. 10-0210 in the amount of \$ _____. A duplicate copy of this sheet is enclosed.

☒ Credit card authorization in the amount of \$856.00 to cover the filing fee is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 10-0210.

☒ Any additional filing fees required under 37 CFR 1.16.

☐ Any patent application processing fees under 37 CFR 1.17.

☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 10-0210.

☒ Any patent application processing fees under 37 CFR 1.17.

☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

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